

e1 Essentials Self-Assessment Adds Efficiency, Simplicity, and Flexibility to TPRM Programs

The e1 Essentials Self-Assessment allows HITRUST Assessment XChange customers to request a faster, easier assurance from vendors to show that business partners have foundational cybersecurity controls in place. The e1 Self-Assessment targets lower-risk organizations or can be a starting point for enterprises that are in the early stages of implementing their information security controls.

Faster, More Streamlined Assurances

To ensure *Foundational Cybersecurity* is in place, the e1 Self-Assessment focuses only on essential information security controls. The pre-set controls curated by HITRUST are intended to address fundamental cybersecurity practices, and were influenced by authoritative sources sharing similar goals, such as CISA Cyber Essentials, Health Industry Cybersecurity Practices (HICP) for Small Healthcare Organizations, NIST 171's Basic Requirements, and NIST IR 7621.



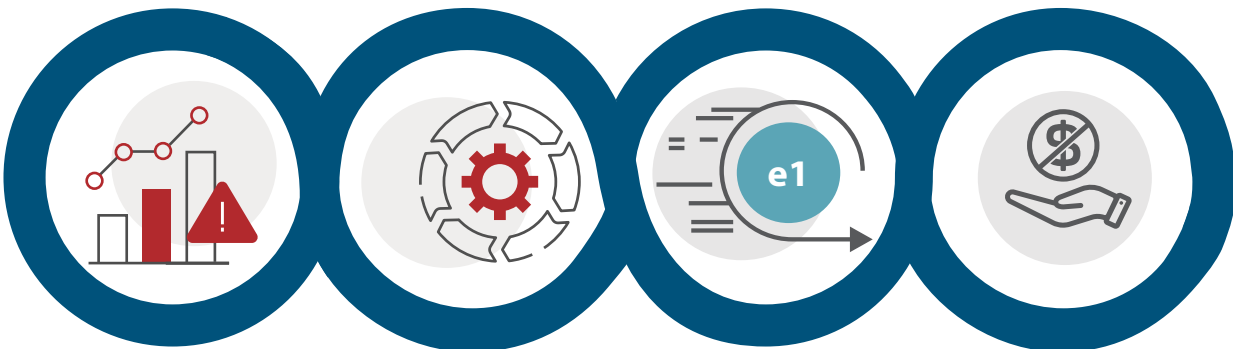
Focusing on the Basics

"The e1 Self-Assessment is an efficient way for our vendors to show that they have core cybersecurity controls in place that help protect our organization."

Cyber Threat-Adaptive Approach for Added Protection

The HITRUST CSF® framework enables the entire HITRUST assessment portfolio to leverage cyber threat-adaptive controls that are appropriate for each level of assurance – including the e1. HITRUST analyzes trending cyber threat intelligence to maintain relevant control requirements that are designed to mitigate new and emerging risks including phishing, brute force, and ransomware.

Unique Attributes of the HITRUST e1 Self-Assessment



FOUNDATIONAL CYBERSECURITY

Uses essential controls recommended by HITRUST and many other standards/authoritative sources.

REDUCES EFFORT

Leverages a leaner set of only 44 controls and reduces the time needed to perform an assessment.

MOVES AT THE SPEED OF BUSINESS

Delivers a faster and more streamlined assurance than with questionnaires and other assessments.

NO CHARGE TO VENDORS

e1 Self-Assessments are included in each XChange subscription level so service providers can provide assurances without added expense.

USE CASES: The e1 Self-Assessment Offers the Right Level of Assurance for Many Third-Party Risk Management Needs

e1 Self-Assessment as the Final Destination

- When the inherent risk for a third party is low and it does not make business sense to request a more expensive and comprehensive assessment.
- When an organization needs to quickly gain and evaluate an updated third-party assurance.
- When an organization does not know the security and privacy posture of a third party that is going through the RFP or on-boarding process.
- To show that essential, foundational cybersecurity controls are in place for service providers who handle limited-PII business processes, such as: Sales/Marketing Agencies, Call Centers, Facility Managers, Research Partners, Insurance Brokers, Financial Brokers, Advisors, Auditors, and Others.

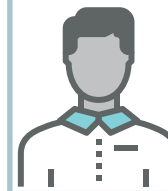
e1 Self-Assessment as a Stepping-Stone

- For enterprises that are new to HITRUST to get started.
- The e1 Self-Assessment can be used as milestone to demonstrate progress towards a HITRUST e1, i1 or r2 Readiness or Validated Assessment (+ Certification).

NOTE: For vendors needing to progress to higher levels of assurance, the e1 Self-Assessment cannot be reused or inherited into HITRUST e1, i1, or r2 Readiness or Validated Assessments, however performing the e1 Self introduces HITRUST methodologies that promote growth and expertise to build on.

e1 Self-Assessment Highlights

- Includes 44 pre-set control requirements that focus on the most essential and foundational cybersecurity controls.
- Unlike typical questionnaires, provides assessment over key controls curated by HITRUST, which offers more in-depth assurances.
- For added efficiency, controls can be omitted or scored N/A (with explanatory comments).
- Uses the consistent HITRUST methodology and framework to enhance expertise of operational teams and stakeholders.
- Focuses on the control implementation maturity level.
- Provides an excellent starting point for third-party vendors early in their program maturity.
- Can be used as the final assurance destination for low-risk business partners.
- No certification.



Mitigate Third-Party Risk
“Requesting an e1 Self-Assessment is ideal to help onboard lower risk vendors and evaluate business partners with greater confidence.”

The e1 Self-Assessment is available exclusively for HITRUST Assessment XChange Participating Organizations to use with low-risk service providers based on their inherent risk scoring.

e1 Self-Assessment Descriptions	e1 Self-Assessment Features
Purpose (Use Case)	Provides entry-level assurance focused on the most critical cybersecurity controls and demonstrates that essential cybersecurity hygiene is in place
Certification	No
Number of HITRUST CSF Requirements on a 2-Year Basis and Maturity Levels Considered	44 (Year 1), 44 (Year 2) Implemented
Policy and Procedure Consideration	Minimal
Level of Security Assurance	Low
Flexibility of Control Selection	e1 Self-Assessments allow some tailoring by eliminating or designating controls as N/A
Evaluation Approach	1x3: Implementation control maturity level
Provides Targeted Coverage for one or more authoritative sources	No
Supporting Assessments	Readiness, Validated + Certification (No tailoring allowed in these assessments)
Leverages HITRUST RDS to Share Results	No

An e1 Readiness Assessment option and an e1 Validated Assessment + Certification are also available from HITRUST.

To learn more about the e1 Self-Assessment or any other HITRUST Third-Party Risk Management Service, contact a HITRUST Assessment XChange Specialist:

Call: 214-618-9300 or Email: getinfo@hitrustax.com